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## Family Educational Rights and Privacy Act (FERPA)

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The Family Educational Rights and Privacy Act (FERPA) (20 U.S.C. § 1232g; 34 CFR Part 99) is a Federal law that protects the privacy of student education records. The law applies to all schools that receive funds under an applicable program of the U.S. Department of Education.

FERPA gives parents certain rights with respect to their children's education records. These rights transfer to the student when he or she reaches the age of 18 or attends a school beyond the high school level. Students to whom the rights have transferred are "eligible students."

- Parents or eligible students have the right to inspect and review the student's education records maintained by the school. Schools are not required to provide copies of records unless, for reasons such as great distance, it is impossible for parents or eligible students to review the records. Schools may charge a fee for copies.
- Parents or eligible students have the right to request that a school correct records which they believe to be
  inaccurate or misleading. If the school decides not to amend the record, the parent or eligible student then
  has the right to a formal hearing. After the hearing, if the school still decides not to amend the record, the
  parent or eligible student has the right to place a statement with the record setting forth his or her view
  about the contested information.
- Generally, schools must have written permission from the parent or eligible student in order to release any
  information from a student's education record. However, FERPA allows schools to disclose those records,
  without consent, to the following parties or under the following conditions (34 CFR § 99.31):
  - School officials with legitimate educational interest;
  - Other schools to which a student is transferring;
  - Specified officials for audit or evaluation purposes;
  - Appropriate parties in connection with financial aid to a student;
  - Organizations conducting certain studies for or on behalf of the school;
  - · Accrediting organizations;

- · To comply with a judicial order or lawfully issued subpoena;
- · Appropriate officials in cases of health and safety emergencies; and
- · State and local authorities, within a juvenile justice system, pursuant to specific State law.

Schools may disclose, without consent, "directory" information such as a student's name, address, telephone number, date and place of birth, honors and awards, and dates of attendance. However, schools must tell parents and eligible students about directory information and allow parents and eligible students a reasonable amount of time to request that the school not disclose directory information about them. Schools must notify parents and eligible students annually of their rights under FERPA. The actual means of notification (special letter, inclusion in a PTA bulletin, student handbook, or newspaper article) is left to the discretion of each school.

Printable view
(/print/policy/gen/guid/fpco/ferpa/index.html)

Last Modified: 08/25/2021

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- Student loans, forgiveness (/fund/grants-college.html?src=rn)
- Higher Education Rulemaking (https://www2.ed.gov/policy/highered/reg/hearulemaking/2021/index.html? src=rn)
- College accreditation (https://www.ed.gov/accreditation?src=rn)
- Every Student Succeeds Act (ESSA) (https://www.ed.gov/essa?src=rn)
- FERPA (http://studentprivacy.ed.gov?src=rn)
- FAFSA (https://fafsa.gov/?src=edgov-rn)
- 1098, tax forms (https://www.ed.gov/1098-e?src=rn)

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#### Information About...

- Elevating Teaching (https://www.ed.gov/teaching?src=rn)
- Early Learning (/about/inits/ed/earlylearning/index.html?src=rn)
- Engage Every Student (https://www.ed.gov/ost?src=rn)
- Unlocking Career Success (https://cte.ed.gov/unlocking-career-success/)
- Cybersecurity (https://tech.ed.gov/cyberhelp/)

#### **Student Loans**

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Repaying Loans (https://studentaid.gov/manage-loans/repayment?src=ft)

Defaulted Loans (https://studentaid.gov/manage-loans/default?src=ft)

Loan Forgiveness (https://studentaid.gov/manage-loans/forgiveness-cancellation?src=ft)

Loan Servicers (https://studentaid.gov/manage-loans/repayment/servicers?src=ft#who-is-my-loan-servicer)

#### **Grants & Programs**

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# **PPRA**

# 34 CFR PART 98—PROTECTION OF PUPIL RIGHTS AMENDMENT

Below are the regulations at 34 CFR Part 98 implementing section 445 of the General Education Provisions Act (GEPA)(20 U.S.C. 1232h), which is commonly referred to as the Protection of Pupil Rights Amendment (PPRA). These regulations can also be found at the Electronic Code of Federal Regulations: <u>Title 34, Part 98--Protection of Pupil Rights Amendment (https://www.ecfr.gov/cgi-bin/text-idx?</u> SID=c372efef49f7659ea9397da901b0ab0a&mc=true&node=pt34.1.98&rgn=div5).

Since the enactment of these regulations in September 1984, there have been significant amendments to section 445 of GEPA by the *No Child Left Behind Act of 2001* (NCLB) passed in 2002, Pub. L. 107-110, and by *Goals 2000: Educate America Act*, Pub. L. 103-227, passed in 1994. The regulations do not reflect these most recent amendments to PPRA, and certain provisions in the current regulations are superseded by these statutory amendments. The current statute can be found at <a href="https://www.govinfo.gov/content/pkg/USCODE-2010-title20-chap31-subchapIII-part4-sec1232h.pdf">https://www.govinfo.gov/content/pkg/USCODE-2010-title20-chap31-subchapIII-part4-sec1232h.pdf</a> (<a href="https://www.govinfo.gov/content/pkg/USCODE-2010-title20-chap31-subchapIII-part4-sec1232h.pdf">https://www.govinfo.gov/content/pkg/USCODE-2010-title20-chap31-subchapIII-part4-sec1232h.pdf</a>)

To learn more about PPRA, please refer to the <u>PPRA General Guidance</u> (<a href="https://studentprivacy.ed.gov/resources/protection-pupil-rights-amendment-ppra-general-guidance">https://studentprivacy.ed.gov/resources/protection-pupil-rights-amendment-ppra-general-guidance</a>).

Parents and eligible students who wish to file a complaint under PPRA may do so on the <u>File a Complaint</u> (<u>https://studentprivacy.ed.gov/file-a-complaint</u>) page.

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## §98.1 Applicability of part.

This part applies to any program administered by the Secretary of Education that:

(a)(1) Was transferred to the Department by the Department of Education Organization Act (DEOA); and

- (2) Was administered by the Education Division of the Department of Health, Education, and Welfare on the day before the effective date of the DEOA; or
- (b) Was enacted after the effective date of the DEOA, unless the law enacting the new Federal program has the effect of making section 439 of the General Education Provisions Act inapplicable.
- (c) The following chart lists the funded programs to which part 98 does not apply as of February 16, 1984.

Name of program	Authorizing statute	Implementing regulations
1. High School Equivalency	Section 418A of the Higher Education Act of 1965 as amended by the Education Amendments of 1980 (Pub. L. 96-374) 20 U.S.C. 1070d-2)	part 206.
2. Programs administered by the Commissioner of the Rehabilitative Services Administration	The Debekilitetian Act of 1072 as amonded by	parts 351-356, 361, 362, 365 366, 369-375, 378, 379, 385- 390, and 395.
3. College housing	Title IV of the Housing Act of 1950 as amended (12 U.S.C. 1749, et seq.)	part 614.

(Authority: 20 U.S.C. 1221e-3(a)(1), 1230, 1232h, 3487, 3507)

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#### §98.2 Definitions.

- (a) The following terms used in this part are defined in 34 CFR part 77; "Department," "Recipient," "Secretary."
- (b) The following definitions apply to this part:

Act means the General Education Provisions Act.

Office means the information and investigation office specified in §98.5.

(Authority: 20 U.S.C. 1221e-3(a)(1))

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# §98.3 Access to instructional material used in a research or experimentation program.

- (a) All instructional material—including teachers' manuals, films, tapes, or other supplementary instructional material—which will be used in connection with any research or experimentation program or project shall be available for inspection by the parents or guardians of the children engaged in such program or project.
- (b) For the purpose of this part research or experimentation program or project means any program or project in any program under §98.1 (a) or (b) that is designed to explore or develop new or unproven teaching methods or techniques.
- (c) For the purpose of the section children means persons not above age 21 who are enrolled in a program under §98.1 (a) or (b) not above the elementary or secondary education level, as determined under State law.

(Authority: 20 U.S.C. 1221e-3(a)(1), 1232h(a))

# §98.4 Protection of students' privacy in examination, testing, or treatment.

- (a) No student shall be required, as part of any program specified in §98.1 (a) or (b), to submit without prior consent to psychiatric examination, testing, or treatment, or psychological examination, testing, or treatment, in which the primary purpose is to reveal information concerning one or more of the following:
- (1) Political affiliations;
- (2) Mental and psychological problems potentially embarrassing to the student or his or her family;
- (3) Sex behavior and attitudes:
- (4) Illegal, anti-social, self-incriminating and demeaning behavior;
- (5) Critical appraisals of other individuals with whom the student has close family relationships;
- (6) Legally recognized privileged and analogous relationships, such as those of lawyers, physicians, and ministers; or
- (7) Income, other than that required by law to determine eligibility for participation in a program or for receiving financial assistance under a program.
- (b) As used in paragraph (a) of this section, prior consent means:
- (1) Prior consent of the student, if the student is an adult or emancipated minor; or
- (2) Prior written consent of the parent or guardian, if the student is an unemancipated minor.
- (c) As used in paragraph (a) of this section:
- (1) Psychiatric or psychological examination or test means a method of obtaining information, including a group activity, that is not directly related to academic instruction and that is designed to elicit information about attitudes, habits, traits, opinions, beliefs or feelings; and
- (2) Psychiatric or psychological treatment means an activity involving the planned, systematic use of methods or techniques that are not directly related to academic instruction and that is designed to affect behavioral, emotional, or attitudinal characteristics of an individual or group.

(Authority: 20 U.S.C. 1232h(b))

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## §98.5 Information and investigation office.

- (a) The Secretary has designated an office to provide information about the requirements of section 439 of the Act, and to investigate, process, and review complaints that may be filed concerning alleged violations of the provisions of the section.
- (b) The following is the name and address of the office designated under paragraph (a) of this section: Family Educational Rights and Privacy Act Office, U.S. Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202.

(Authority: 20 U.S.C. 1231e-3(a)(1), 1232h)

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## §98.6 Reports.

The Secretary may require the recipient to submit reports containing information necessary to resolve complaints under section 439 of the Act and the regulations in this part.

(Authority: 20 U.S.C. 1221e-3(a)(1), 1232h)

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### §98.7 Filing a complaint.

- (a) Only a student or a parent or guardian of a student directly affected by a violation under Section 439 of the Act may file a complaint under this part. The complaint must be submitted in writing to the Office.
- (b) The complaint filed under paragraph (a) of this section must-
- (1) Contain specific allegations of fact giving reasonable cause to believe that a violation of either §98.3 or §98.4 exists; and
- (2) Include evidence of attempted resolution of the complaint at the local level (and at the State level if a State complaint resolution process exists), including the names of local and State officials contacted and significant dates in the attempted resolution process.
- (c) The Office investigates each complaint which the Office receives that meets the requirements of this section to determine whether the recipient or contractor failed to comply with the provisions of section 439 of the Act.

(Approved by the Office of Management and Budget under control number 1880-0507)

(Authority: 20 U.S.C. 1221e-3(a)(1), 1232h)

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## §98.8 Notice of the complaint.

- (a) If the Office receives a complaint that meets the requirements of §98.7, it provides written notification to the complainant and the recipient or contractor against which the violation has been alleged that the complaint has been received.
- (b) The notice to the recipient or contractor under paragraph (a) of this section must:
- (1) Include the substance of the alleged violation; and
- (2) Inform the recipient or contractor that the Office will investigate the complaint and that the recipient or contractor may submit a written response to the complaint.

(Authority: 20 U.S.C. 1221e-3(A)(1), 1232h)

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### §98.9 Investigation and findings.

- (a) The Office may permit the parties to submit further written or oral arguments or information.
- (b) Following its investigations, the Office provides to the complainant and recipient or contractor written notice of its findings and the basis for its findings.
- (c) If the Office finds that the recipient or contractor has not complied with section 439 of the Act, the Office includes in its notice under paragraph (b) of this section:
- (1) A statement of the specific steps that the Secretary recommends the recipient or contractor take to comply; and
- (2) Provides a reasonable period of time, given all of the circumstances of the case, during which the recipient or contractor may comply voluntarily.

(Authority: 20 U.S.C. 1221e-3(a)(1), 1232h)

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### §98.10 Enforcement of the findings.

- (a) If the recipient or contractor does not comply during the period of time set under §98.9(c), the Secretary may either:
- (1) For a recipient, take an action authorized under 34 CFR part 78, including:
- (i) Issuing a notice of intent to terminate funds under 34 CFR 78.21;
- (ii) Issuing a notice to withhold funds under 34 CFR 78.21, 200.94(b), or 298.45(b), depending upon the applicable program under which the notice is issued; or
- (iii) Issuing a notice to cease and desist under 34 CFR 78.31, 200.94(c) or 298.45(c), depending upon the program under which the notice is issued; or
- (2) For a contractor, direct the contracting officer to take an appropriate action authorized under the Federal Acquisition Regulations, including either:
- (i) Issuing a notice to suspend operations under 48 CFR 12.5; or
- (ii) Issuing a notice to terminate for default, either in whole or in part under 48 CFR 49.102.
- (b) If, after an investigation under §98.9, the Secretary finds that a recipient or contractor has complied voluntarily with section 439 of the Act, the Secretary provides the complainant and the recipient or contractor written notice of the decision and the basis for the decision.

(Authority: 20 U.S.C. 1221e-3(a)(1), 1232h)

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